	· · · · · · · · · · · · · · · · · · ·	25 OCT 2001					
FORM PTO-1390 U.S. DEPARTMENT OF (REV 10-2000)	RCE PATENT AND TRADEMARK OFFICE	HCM US					
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)							
	ING UNDER 35 U.S.C.371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
INTERNATIONAL APPLICATION PCT/GB01/01012	28 February 2001 (28.02.01)	PRIORITY DATE CLAIMED 2 March 2000 (02.03.00)					
TITLE OF INVENTION APPARA	TUS FOR DERIVING ENERGY FROM	I WAVES					
APPLICANT(S) FOR DO/EO/US John Frederick KEMP							
	States Designated/Elected Office (DO/EO/US)						
	on of items concerning a filing under 35 U						
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
3. This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).							
 4. The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 							
a. \square is attached hereto (required only if not communicated by the International Bureau).							
b. Ex has been communicated by the International Bureau.							
c. \square is not required, as the application was filed in the United States Receiving Office (RO/US).							
6. An English language translation of the International Application as filed (35 U.S.C 371(c)(2)).							
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. \square are attached hereto (required only if not communicated by the International Bureau).							
b. have been communicated by the International Bureau.							
c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. A have not been made							
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An Anioath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unexecuted) (3 pages)							
10. An English language translation of the annexes to the International Preliminary Examination Report under							
PCT Article 36 (35 U.S.C.	371(c)(5)).	Tommary Examination Report under					
Items 11. to 16. below concern doc	ument(s) or information included:						
11. 🗷 An Information Disclosur	11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (2 sheets) with Form PTO-1449 (1 sheet)						
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included							
13. A FIRST preliminary amendment (3 sheets) (including Version with Markings to Show Changes Made).							
A SECOND or SUBSEQUENT preliminary amendment.							
14. A substitute specification							
15. A change of power of atte	orney and/or address letter.						
16. Other items or information: Transmittal Letter (2 sheets in duplicate); Certificate of First Class Mailing (1 sheet); Copy of International Published Application No. WO 01/65112 A1 (15 sheets); and							
Return Postcard.		` //					
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	<u> </u>	INTERNATIONAL APPI PCT/GB01/01012	a mana JC:	13 Rec'd PCT/F	ZIQ 2,5 QCT 2001		
U.S. APPLICATION NO. (if	known, sce 3 37	INTERNATIONAL APPI PCT/GB01/01012	LICATION NO.	HCM-019	S DOCKET NO. US		
17. E The following fees are submitted:			CALCULATIO	ONS PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)).(a/o November 1, 2000): Neither international preliminary examination fee (37 CFR 1.482)				• •			
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO				منواده ميني			
and International Search Report not prepared by the EPO or JPO\$1000							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.455(a)(2)) paid to USPTO\$710							
	ninary examination fee pot satisfy provisions of						
International preliminary examination fee paid to USPTO (37 CFR 1.482)							
		Article 33(1)-(4)					
				\$860			
ENTER APPROPRIATE BASIC FEE AMOUNT =							
				\$130	_		
Surcharge of \$130.00 for furnishing the oath or declaration later than \(\bigcup 20 \) \(\bigcup 30 \) months from the earliest claimed priority date (37 CFR 1.492(e)).				3130			
CLAIMS		NUMBER EXTRA	RATE	<u></u>			
Total claims	15 -20	0	X \$18.00	\$0			
Independent claims	1 -3 =	0	X \$80.00	\$0			
	DENT CLAIM(S) (if	applicable)	+ 270.00	\$0			
		OVE CALCULATION	ONS =	\$990			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0			
SUBTOTAL =				\$495			
Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30				\$0			
months from the earliest claimed priority date (37 CFR 1.492(f)).							
TOTAL NATIONAL FEE =			\$495				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be							
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$0	1			
TOTAL FEES ENCLOSED =				\$495			
				Amount to be:	\$		
				, refunded	·		
				charged	\$		
a. A check in the amount of \$495.00 to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. E The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0080 . A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR							
1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Anthony A. Laurentan							
LAHIVE & COCKFIELD, LLP Anthony A. Lau Anthony A. Lau			rentano				
28 State Street NAME Boston, Massachusetts 02109 38,220							
United States of America REGISTRATION NUMBER Telephone: (617) 227-7400							

(Atty. Docket No.: HCM-019US)

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)

In re the

application of: JOHN FREDERICK KEMP

International Application No.: PCT/GB01/01012

International Filing Date: 28 February 2001

U.S. Serial No.: Not Yet Assigned

Filed: Herewith

APPARATUS FOR DERIVING ENERGY

FROM WAVES

Attorney Docket No.: HCM-019US

BOX PCT

Commissioner for Patents Washington, D.C. 20231

Certification Under 37 CFR 1.10

I hereby certify that the attached:

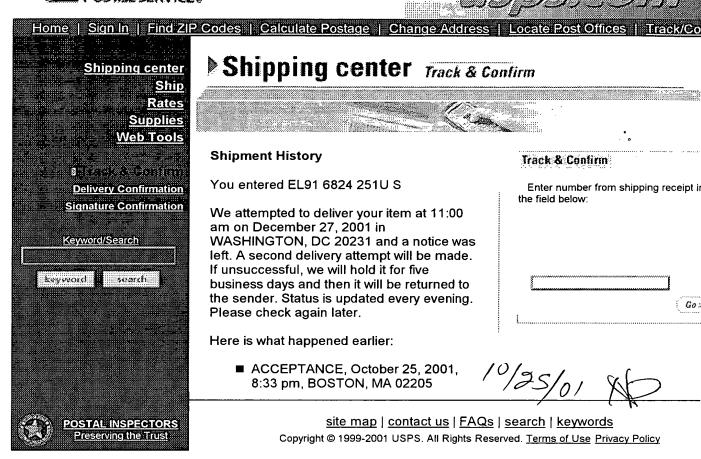
Transmittal Letter (2 sheets in duplicate); Unexecuted Declaration, Petition and Power of Attorney (3 sheets); Certificate of Express Mailing (1 sheet); Copy of International Published Application No. WO 01/21909 (15 sheets); an Information Disclosure Statement (2 sheets) with Form PTO-1449 (1 sheet); a First Preliminary Amendment (3 sheets) (including Version with Markings to Show Changes Made); filing fee check in the amount of \$495.00; and Return Postcard are being deposited by me with the United States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No. EL 916824251 US, under 37 CFR 1.10 on the date indicated below and is addressed to the Box PCT, Commissioner for Patents, Washington, D.C. 20231.

Date: 10/25/01

Name: Viriato 6 Cardoso Signature: Visito 6 Cardoso







Shipping center Track & Confirm

Shipment History

You entered EL91 6824 251U S

We attempted to deliver your item at 11:00 am on December 27, 2001 in WASHINGTON, DC 20231 and a notice was left. A second delivery attempt will be made. If unsuccessful, we will hold it for five business days and then it will be returned to the sender. Status is updated every evening. Please check again later.

Here is what happened earlier:

■ ACCEPTANCE, October 25, 2001, 8:33 pm, BOSTON, MA 02205

Track & Confirm

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